

213831.00008

  
PATENT APPLICATION



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mark Application of: )

JIDONG XU, ET AL. )

Application No.: 10/015,753 )

Filed: December 17, 2001 )

For: MULTI-WAVELENGTH LASER )

SOURCE BASED ON TWO OPTICAL :  
LASER BEAT SIGNAL AND METHOD )

Examiner: Hung T. Vy

Group Art Unit: 2828

Confirmation No.: 2827

August 17, 2004

**MAIL STOP ISSUE FEE**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**REQUEST FOR RECONSIDERATION OF  
INFORMATION DISCLOSURE STATEMENTS**

Sir:


Applicants are in receipt of a Notice of Allowance mailed in this application on June 8, 2004. In reviewing the prosecution file for this application, Applicants have discovered that the Examiner has not returned initialed copies of the Forms PTO-1449 that were provided with the Information Disclosure Statements filed by Applicants on May 5, 2003 (Exhibit 1) and April 27, 2004 (Exhibit 2). Applicants properly submitted both Information Disclosure Statements for consideration before the mailing date of the Notice of Allowance, as evidenced by the attached date-stamped postcards.

Also attached herewith are copies of the previously-submitted documents, for the Examiner's convenience.

Applicants respectfully request that the Examiner consider the documents submitted with the timely-filed Information Disclosure Statements. It is also respectfully requested that the Examiner initial and return copies of the enclosed Forms PTO-1449, indicating that the submitted information has been considered.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 625-3500. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

  
Attorney for Applicants  
Dawn C. Hayes  
Registration No. 44,751

PATENT ADMINISTRATOR  
KATTEN MUCHIN ZAVIS ROSENMAN  
525 West Monroe Street  
Suite 1600  
Chicago, Illinois 60661-3693  
Facsimile: (312) 902-1061



Commissioner for Patents  
Washington, D.C. 20231

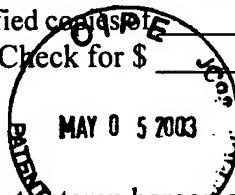
Date: 5, 5, 03  
Mo. Day Yr.  
Atty. Docket 213831-8

Sir:

Application No. 10/015,753

Kindly acknowledge receipt of the accompanying:

- ☐ Response to Official Action. \_\_\_\_\_  
☐ Check for \$ \_\_\_\_\_ (claims fee)  
☐ Petition under 37 C.F.R. § 1.136 and Check for \$ \_\_\_\_\_  
☐ Notice of Appeal and Check for \$ \_\_\_\_\_  
☒ Information Disclosure Statement, PTO-1449 and 12 documents  
☐ Claim for priority and certified copies of \_\_\_\_\_ priority applications  
☐ Issue fee transmittal and Check for \$ \_\_\_\_\_  
☐ Other (specify) \_\_\_\_\_



**DOCKETED**

by placing your receiving date stamp hereon and returning to deliverer.

Atty. RPB:QV

Due Date 11, 11, 03  
Mo. Day Yr.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 50111-21 (RAB:rl)

213831-00008

In re Patent Application of Jidong Xu, et al

Serial No. 10/015,753

Group Art Unit: 2881

Filed: December 17, 2001

Examiner:

For: MULTI-WAVELENGTH LASER SOURCE BASED ON TWO OPTICAL LASER SIGNAL  
AND METHOD

### INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement is being filed in the manner prescribed by 37 CFR 1.97(b) - (d) to satisfy the duty under 37 CFR 1.56 to disclose to the Office information, known to individuals associated with the filing and prosecution of the subject application, which is material to the examination of the application.

In accordance with 37 CFR 1.97(g) and (h), this statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 CFR 1.56(b).

This information disclosure statement is being filed within three months of the filing date of a national application, within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first official action on the merits and therefore applicant respectfully requests consideration under 37 CFR 1.97(b).

In compliance with 37 CFR 1.98(a)(1), a list of all patents, publications or other information submitted for consideration by the Office is hereby provided by way of the attached Form PTO 1449.

In compliance with 37 CFR 1.98(a)(2), also enclosed is a legible copy of:

- i) each United States and foreign patent;
- ii) each publication or that portion which caused it to be listed; and
- iii) all other information or that portion which caused it to be listed, excluding any copies of a United States patent application.

It is respectfully requested that the information be expressly considered by the Examiner and that the references be made of record and appear among the "References Cited" on any patent to issue therefrom.

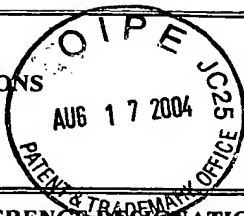
Respectfully submitted,

JIDONG XU, ET AL

Dated: \_\_\_\_\_

Encls.: Form PTO-1449  
All references listed on Form PTO-1449  
Acknowledgement Card

**LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT**  
(Use several sheets if necessary)



Atty. Docket No. 50111-21

Serial No. 10/015,753

Applicant Jidong Xu, et al

Filing Date December 17, 2001

Group 2881

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROPRIATE
	AA	5	0	2	8	8	8	9	Jul. 2/91	Fedan	331	183	
	AB	5	4	3	4	7	0	1	Jul. 18/95	Fatehi, et al	359	341	
	AC	5	5	3	7	2	4	3	Jul. 16/96	Fatehi, et al	359	541	
	AD	5	8	4	1	5	7	1	Nov. 24/98	Terahara	359	341	
	AE	5	9	6	3	5	6	7	Oct. 5/99	Veselka, et al	373	21	
	AF	6	1	0	4	8	4	8	Aug. 15/00	Toyohara, et al	385	24	
	AG	6	1	1	1	6	8	8	Aug. 29/00	Kobayashi, et al	359	341	
	AH	6	1	6	0	6	5	7	Dec. 12/00	Lee, et al	359	334	
	AI	6	1	6	3	5	5	3	Dec. 19/00	Pfeiffer	372	6	
	AJ	6	3	2	3	9	9	1	Nov. 27/01	Cisternino, et al	359	329	
	AK	6	3	4	1	0	3	4	Jan. 22/02	Sun, et al	359	341.41	

## FOREIGN PATENT DOCUMENTS

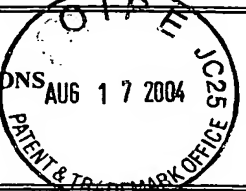
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
													YES	NO

## OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
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EXAMINER:

Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>Form PTO-1449 (Modified)</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Atty. Docket No. 50111-21</td> <td style="width: 50%;">Serial No. 10/015,753</td> </tr> <tr> <td colspan="2">Applicant Jidong Xu, et al</td> </tr> <tr> <td>Filing Date December 17, 2001</td> <td>Group 2881</td> </tr> </table>	Atty. Docket No. 50111-21	Serial No. 10/015,753	Applicant Jidong Xu, et al		Filing Date December 17, 2001	Group 2881
Atty. Docket No. 50111-21	Serial No. 10/015,753							
Applicant Jidong Xu, et al								
Filing Date December 17, 2001	Group 2881							

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAM. INIT.	AL	6	4	1	7	9	6	5	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROPRIATE
									Jul. 9/02	Ye, et al	359	341.41	

**FOREIGN PATENT DOCUMENTS**

FOR IDENTIFYING DOCUMENTS														
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
													YES	NO

**OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.)**

<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;"><b>EXAMINER</b></td> <td style="width: 50%;"><b>DATE CONSIDERED</b></td> </tr> </table>				<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
<b>EXAMINER</b>	<b>DATE CONSIDERED</b>				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Mail Stop  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: 4 / 27 / 04  
Mo. Day Yr.

Atty. Docket No. 213831-8

Application No. 10/015-753

Sir:

Kindly acknowledge receipt of the accompanying:

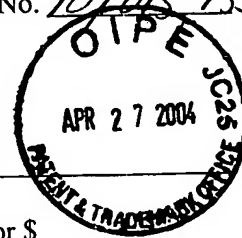
- ☐ Response to Official Action, \_\_\_\_\_
- ☐ Charge/Check for \$ \_\_\_\_\_
- ☐ Petition Under 37 C.F.R. § 1.136 and Charge/Check for \$ \_\_\_\_\_
- ☐ Notice of Appeal and Charge/Check for \$ \_\_\_\_\_
- ☒ Information Disclosure Statement, PTO-1449 and 5 documents
- ☐ Claim for Priority and Certified copies of 581084 priority applications
- ☐ Issue Fee Transmittal and Charge/Check for \$ \_\_\_\_\_
- ☐ Other (specify) \_\_\_\_\_

by placing your receiving date stamp hereon and returning to deliverer.

Atty./Sec.: \_\_\_\_\_

**DOCKETED**

Due Date: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
Mo. Day Yr.





213831-00008

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	:	Examiner: Hung T. Vy
JIDONG XU, ET AL.	)	
	:	Group Art Unit: 2828
Application No.: 10/015,753	)	
	:	
Filed: December 17, 2001	)	Confirmation No.: 2827
	:	
For: MULTI-WAVELENGTH LASER	)	
SOURCE BASED ON TWO	:	April 27, 2004
OPTICAL LASER BEAT SIGNAL	)	
AND METHOD	:	

U.S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window  
Crystal Plaza Two, Lobby Room 1B03  
Arlington, VA 22202

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the document listed on the enclosed form PTO/SB/08B. For the Examiner's convenience, copies of the listed documents are being provided.

In accordance with 37 CFR § 1.97(b)(4) this Information Disclosure Statement is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

Applicants believe that no fee is required. However, the Commissioner is authorized to charge any fees deemed necessary and to make any refunds to Deposit Account 50-1710.

#### CONCLUSION

It is respectfully requested that the below-listed information be considered by the Examiner and that a copy of the enclosed Form PTO/SB/08A be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 625-3500. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

---

Attorney for Applicant  
Richard P. Bauer  
Registration No. 31,588

PATENT ADMINISTRATOR  
KATTEN MUCHIN ZAVIS ROSENMAN  
525 West Monroe Street  
Chicago, Illinois 60661-3693  
Facsimile: (312) 902-1061

**Complete if Known**

*(Use as many sheets as necessary)*

Sheet

**1**

of

1

Application Number	10/015,753
Filing Date	December 17,
First Named Inventor	Jidong Xu
Art Unit	2828
Examiner Name	Hung T. Vy
Attorney Docket Number	213831-00008

[illegible][illegible]

Date Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*